

RECEIVED

AUG 01 2002

Technology Center 2100

GAC 2171 \$



Attorney's Docket No.: 42390.P6899

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

In Re Application of: Smith, et al.

Examiner: Haythim J. Alaubaidi

Application No. 09/475,653

Art Unit: 2171

Filed: 12/30/1999

First Class Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231-0001 on 7/24/2002.

For: INTELLIGENT AGENT BASED E-MAIL NOTIFICATION SYSTEM

*Judy L. Steinkraus*  
Judy L. Steinkraus

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231-0001

COPY OF PAPERS  
ORIGINALLY FILED

SIR: Transmitted herewith is an Amendment for the above application.

- Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
- No additional fee is required.

The fee has been calculated as shown below:

|               | (Col. 1)  |       | (Col. 2)                        | (Col. 3)      |
|---------------|---|-------|---------------------------------|---------------|
|               | Claims Remaining After Amd.                       |       | Highest No. Previously Paid For | Present Extra |
| Total Claims  | *26   | Minus | **26                            | 0             |
| Indep. Claims | *4  | Minus | ***4                            | 0             |
|               | First Presentation of Multiple Dependent Claim(s) |       |                                 |               |

| SMALL ENTITY   |                |
|----------------|----------------|
| Rate           | Additional Fee |
| X9             | \$             |
| X42            | \$             |
| +140           | \$             |
| Total Add. Fee | \$             |

| OTHER THAN A SMALL ENTITY |                |
|---------------------------|----------------|
| Rate                      | Additional Fee |
| X18                       | \$             |
| X84                       | \$             |
| +280                      | \$             |
| Total Add. Fee            | \$ 0           |

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

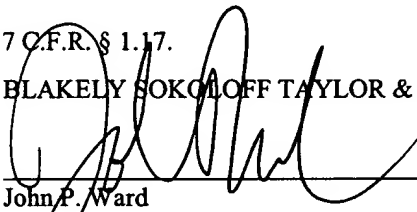
A check in the amount of \$                      is attached for presentation of additional claim(s).  
  X   Applicants hereby Petition for an Extension of Time of one month pursuant to 37 C.F.R. § 1.136(a).  
  X   A check for \$110.00 is attached for processing fees under 37 C.F.R. § 1.17.  
       Please charge my Deposit Account No. 02-2666 the amount of \$                     .

**A duplicate copy of this sheet is enclosed.**  
  X   The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy of this sheet is enclosed):

  X   Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

  X   Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

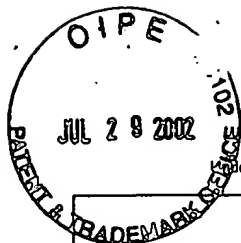
  
John P. Ward

Reg. No. 40,216

Date: 07/24/2002

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408) 720-8300

**COPY OF PAPERS  
ORIGINALLY FILED**



PTO/SB/17(09/00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT \$110.00**

Complete if Known:

Application No. 09/475,653

Filing Date 12/30/1999

First Named Inventor Smith, et al.

Group Art Unit 2171

Examiner Name Haythim J. Alaubaidi

Attorney Docket No. 42390.P6899

METHOD OF PAYMENT (check one)

**RECEIVED****AUG 01 2002****Technology Center 2100**

1. ☒ The Commissioner is hereby authorized to charge deficiencies in fees and credit any over payments to:

Deposit Account Number 2-2666

Deposit Account Name \_\_\_\_\_

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check  
\_\_\_\_\_ Money Order  
\_\_\_\_\_ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          |
|--------------|----------|--------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |
| 101          | 740      | 201          | 370      |
| 106          | 330      | 206          | 165      |
| 107          | 510      | 207          | 255      |
| 108          | 740      | 208          | 370      |
| 114          | 160      | 214          | 80       |

**Fee Description**

Utility application filing fee  
Design application filing fee  
Plant filing fee  
Reissue filing fee  
Provisional application filing fee

**Fee Paid****SUBTOTAL (1) \$ \_\_\_\_\_****COPY OF PAPERS  
ORIGINALLY FILED****2. EXTRA CLAIM FEES**

|                          | <b>Extra Claims</b> | <b>Fee from below</b>                     | <b>Fee Paid</b> |
|--------------------------|---------------------|---|-----------------|
| Total Claims _____       | - 20** = _____      | <input checked="" type="checkbox"/> _____ | = _____         |
| Independent Claims _____ | - 3** = _____       | <input checked="" type="checkbox"/> _____ | = _____         |
| Multiple Dependent _____ |                     | _____                                     | = _____         |

**\*\*Or number previously paid, if greater; For Reissues, see below.**

| Large Entity |          | Small Entity |          |
|--------------|----------|--------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |
| 103          | 18       | 203          | 9        |
| 102          | 84       | 202          | 42       |
| 104          | 280      | 204          | 140      |
| 109          | 84       | 209          | 42       |
| 110          | 18       | 210          | 9        |

**Fee Description**

Claims in excess of 20  
Independent claims in excess of 3  
Multiple dependent claim, if not paid  
\*\*Reissue independent claims over original patent  
\*\*Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$ \_\_\_\_\_**

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| <u>Large Entity</u>       |                 | <u>Small Entity</u> |                 | <u>Fee Description</u>   | <u>Fee Paid</u> |
|---------------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u>           | <u>Fee (\$)</u> | <u>Fee Code</u>     | <u>Fee (\$)</u> |  |                 |
| 105                       | 130             | 205                 | 65              | Surcharge - late filing fee or oath  |                 |
| 127                       | 50              | 227                 | 25              | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 139                       | 130             | 139                 | 130             | Non-English specification  |                 |
| 147                       | 2,520           | 147                 | 2,520           | For filing a request for ex parte reexamination                            |                 |
| 099                       | 8,800           | 099                 | 8,800           | Request for inter parties reexamination                                    |                 |
| 112                       | 920*            | 112                 | 920*            | Requesting publication of SIR prior to Examiner action                     |                 |
| 113                       | 1,840*          | 113                 | 1,840*          | Requesting publication of SIR after Examiner action                        |                 |
| 115                       | 110             | 215                 | 55              | Extension for reply within first month                                     | 110.00          |
| 116                       | 400             | 216                 | 200             | Extension for reply within second month                                    |                 |
| 117                       | 920             | 217                 | 460             | Extension for reply within third month                                     |                 |
| 118                       | 1,440           | 218                 | 720             | Extension for reply within fourth month                                    |                 |
| 128                       | 1,960           | 228                 | 980             | Extension for reply within fifth month                                     |                 |
| 119                       | 320             | 219                 | 160             | Notice of Appeal   |                 |
| 120                       | 320             | 220                 | 160             | Filing a brief in support of an appeal                                     |                 |
| 121                       | 280             | 221                 | 140             | Request for oral hearing   |                 |
| 138                       | 1,510           | 138                 | 1,510           | Petition to institute a public use proceeding                              |                 |
| 140                       | 110             | 240                 | 55              | Petition to revive - unavoidable   |                 |
| 141                       | 1,280           | 241                 | 640             | Petition to revive - unintentional   |                 |
| 142                       | 1,280           | 242                 | 640             | Utility issue fee (or reissue)   |                 |
| 143                       | 460             | 243                 | 230             | Design issue fee   |                 |
| 144                       | 620             | 244                 | 310             | Plant issue fee  |                 |
| 122                       | 130             | 122                 | 130             | Petitions to the Commissioner  |                 |
| 123                       | 50              | 123                 | 50              | Processing fee under 37 CFR 1.17(q)  |                 |
| 126                       | 180             | 126                 | 180             | Submission of Information Disclosure Stmt                                  |                 |
| 581                       | 40              | 581                 | 40              | Recording each patent assignment per property (times number of properties) |                 |
| 146                       | 740             | 246                 | 370             | For filing a submission after final rejection (see 37 CFR 1.129(a))        |                 |
| 148                       | 110             | 248                 | 55              | Statutory Disclaimer   |                 |
| 149                       | 740             | 249                 | 370             | For each additional invention to be examined (see 37 CFR 1.129(b))         |                 |
| 179                       | 740             | 279                 | 370             | Request for Continued Examination (RCE)                                    |                 |
| 169                       | 900             | 169                 | 900             | Request for expedited examination of a design application                  |                 |
| 195                       | 300             | 195                 | 300             | Publication fee for early, voluntary, or normal pub.                       |                 |
| 196                       | 300             | 196                 | 300             | Publication fee for republication  |                 |
| 194                       | 130             | 194                 | 130             | Request for voluntary publication or republication                         |                 |
| 098                       | 130             | 098                 | 130             | Processing fee under 37 CFR 1.17(i) (except provisionals)                  |                 |
| 091                       | 1,280           | 091                 | 1,280           | Acceptance of unintentionally delayed claim for priority                   |                 |
| Other fee (specify) _____ |                 |                     |                 |  |                 |
| Other fee (specify) _____ |                 |                     |                 |  |                 |

**SUBTOTAL (3) \$110.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: John P. Ward  
Signature: \_\_\_\_\_ Date: July 24, 2002  
Reg. Number: 40,216 Telephone Number: (408) 720-8300 x237